SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

- 1									
	OMB Number:	3235-0287							
	Estimated average burden								
	hours per response:	0.5							

Instruction 1(b	o).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34				
	•		or Section 30(h) of the Investment Company Act of 1940					
1. Name and Add		ng Person [*]	2. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [MKSI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Mora Elizal</u>	<u>beth</u>			X Director 10% Owner				
(Last) C/O MKS INS	(First)	(Middle) S, INC.	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021	Officer (give title Other (specify below) below)				
2 TECH DRIVE, SUITE 201								
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X Form filed by One Reporting Person				
ANDOVER	MA	01810		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						
		Table I - Non-De	erivative Securities Acquired Disposed of or Ben	eficially Owned				

Table 1- Non-Derivative Securities Acquired, Disposed of, or Derencially Owned												
1. Title of Security (Instr. 3)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr	4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
		1		1	(A) or		Transaction(s)	1	· · · /			

		C	Code	v	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	03/01/2021		S ⁽¹⁾		450	D	\$168	14,601.314	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)						
									Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

<u>/s/ M. Kathryn Rickards,</u>

03/02/2021

Date

attorney-in-fact ** Signature of Reporting Person

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.