SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response	: 0.5						

to Section 16.	Form 4 or Form 5 ay continue. See)).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34		Estimated av hours per res	erage burden ponse:	0.5
		_ *	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol	E Polat	ionchin of [Poporting Dore	son(s) to Issuer	
1. Name and Add		g Person	MKS INSTRUMENTS INC [MKSI]		all applicab			
Mora Elizat	<u>beth</u>			X	Director		10% Owner	
(Last) C/O MKS INS		·	3. Date of Earliest Transaction (Month/Day/Year) 06/01/2021		Officer (gi below)	ve title	Other (specif below)	У
2 TECH DRIV	/E, SUITE 20	1	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	dual or Joir	nt/Group Filing	(Check Applica	able
(Street)				X	Form filed	by One Repo	orting Person	
ANDOVER	MA	01810					One Reporting	
(City)	(State)	(Zip)						
		Table I - Non-De	rivative Securities Acquired, Disposed of, or Bene	ficially	Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150. 4)
Common Stock	06/01/2021	S ⁽¹⁾		300	D	\$190	15,448.103	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	rative rities ired r osed) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

/s/ M. Kathryn Rickards,

06/02/2021

attorney-in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.