| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

|   |   |                                      |   |         |  | Washir   | ngton, D.0                              | C. 205  | 49   |           |                            |                                      |   |                                      | OMB                                 | APPROV  | /AL              |  |
|---|---|--------------------------------------|---|---------|--|--|---|---|--|-----------|----------------------------|--------------------------------------|---|--------------------------------------|-------------------------------------|---|------------------|--|
| Section<br>obligation   | this box if no lo<br>16. Form 4 or<br>ons may contin<br>ion 1(b). |                                      | STA   |         | ed pursua  | F CHANGE<br>nt to Section 16(a<br>ction 30(h) of the | i) of the S                             | ecurit  | ies Exchar   | nge Ao    | ct of 193                  |                                      | IP  | Estim                                | Number:<br>ated aver<br>per resp    | age burden  | 3235-0287<br>0.5 |  |
|   | d Address of  | Reporting Person <sup>*</sup>        | ·   |         | 2. Issue<br>MKS  | er Name <b>and</b> Tick<br>SINSTRUM                  | er or Trac<br>ENTS                      | ling S<br>INC   | ymbol<br><u>C</u> [ MKS                                    | I]        |                            |                                      | ationship of I<br>k all applicat<br>Director      |                                      | g Persor                            | (s) to Issue<br>10% Owi                             |                  |  |
| (Last)  | `   | First)                               | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/15/2024  |         |  |  |   |   |  | X         | Officer (g<br>below)<br>EV |                                      | ve title Other (specify below) P, CFO & Treasurer |                                      |                                     |   |                  |  |
| C/O MKS INSTRUMENTS, INC.<br>2 TECH DRIVE, SUITE 201  |   |                                      |   |         | Line)  |  |   |   |  |           |                            |                                      |   | Joint/Group Filing (Check Applicable |                                     |   |                  |  |
| (Street)  | ER N  | 1A                                   | 01810   |         | X Form filed by One Reporting Person<br>Form filed by More than One Reporting Pe |  |   |   |  |           |                            |                                      |   |                                      |                                     | ng Person   |                  |  |
| (City)  | 3)  | State)                               | (Zip)  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |         |  |  |   |   |  |           |                            |                                      |   |                                      |                                     |   |                  |  |
|   |   | 1                                    | able I - No   | n-Deriv | ative S  | Securities Ac  | quired                                  | , Dis   | posed o  | of, o     | r Bene                     | ficially C                           | Owned   |                                      |                                     |   |                  |  |
| 1. Title of Security (Instr. 3)   |   |                                      | 2. Transaction<br>Date<br>(Month/Day/Yea  |         | Execution Date,  |  | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4 |           |                            | Securities<br>Beneficial<br>Owned Fo | neficially<br>vned Following                      |                                      | Direct lı<br>Indirect E<br>tr. 4) C | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |                  |  |
|   |   |                                      |   |         |  |  | Code                                    | v   | Amount   |           | (A) or<br>(D)              | Price                                | Reported<br>Transactio<br>(Instr. 3 an            |                                      |                                     |   | Instr. 4)        |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 3,728.9  | 956       | Α                          | (1)                                  | 24,40   | .624                                 |                                     | D   |                  |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 1,525.1  | 64        | Α                          | (1)                                  | 25,920  | 5.788                                |                                     | D   |                  |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 4,12   | 9         | Α                          | (1)                                  | 30,055  | 5.788                                |                                     | D   |                  |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 2,43   | 0         | Α                          | (1)                                  | 32,485  | 5.788                                |                                     | D   |                  |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 6,79   | 1         | Α                          | (1)                                  | 39,276  | 5.788                                |                                     | D   |                  |  |
| Common  | Stock   |                                      |   | 02/15   | /2024  |  | М                                       |   | 2,762  | 2         | Α                          | (1)                                  | 42,038  | 8.788                                |                                     | D   |                  |  |
| Common  | Stock   | tock 02/15/2024 F <sup>(2)</sup> 9,1 |   |         |  |  |   |   | 9,17   | 3         | D                          | \$122.15                             | 32,865  | 5.788                                |                                     | D   |                  |  |
|   |   |                                      | Table II -  |         |  | curities Acquills, warrants                          |   |   |  |           |                            |                                      | wned  |                                      |                                     |   |                  |  |
| 1. Title of<br>Derivative         2.         3. Transaction         3A. Deemed           Derivative         Conversion<br>or Exercise         Date         Execution Date           (Instr. 3)         Price of         (Month/Day/Year)         if any<br>(Month/Day/A |   |                                      | te. Tra   | •       | 5. Number of   |  | xercis                                  | cisable and ate Securities Coordinate Securities (ear) Derivative (Instr. 3 and |  | tle and A |                            | 8. Price of<br>Derivative            | 9. Numb   |                                      | 10.<br>Ownership                    | 11. Nature<br>of Indirec                            |                  |  |

| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Date<br>(Month/Day/Year) | Execution Date,<br>if any<br>(Month/Day/Year) |      | ransaction Derivative<br>ode (Instr. Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | Expiration Da<br>(Month/Day/) |                     | Securities<br>Derivative<br>(Instr. 3 au |                 | Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|--------------------------------------|---|--------------------------|---|------|---|-----|-------------------------------|---------------------|--|-----------------|--------------------------------------|--|---|--|--|
|                                      |   |                          |   | Code | v   | (A) | (D)                           | Date<br>Exercisable | Expiration<br>Date                       | Title           | Amount or<br>Number of<br>Shares     |  | (Instr. 4)  |  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 3,728.956                     | (3)                 | (3)                                      | Common<br>Stock | 3,728.956                            | (1)  | 43,308.007  | D  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 1,525.164                     | (4)                 | (4)                                      | Common<br>Stock | 1,525.164                            | (1)  | 41,782.843  | D  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 4,129                         | (5)                 | (5)                                      | Common<br>Stock | 4,129                                | (1)  | 37,653.843  | D  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 2,430                         | (6)                 | (6)                                      | Common<br>Stock | 2,430                                | (1)  | 35,223.843  | D  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 6,791                         | (7)                 | (7)                                      | Common<br>Stock | 6,791                                | (1)  | 28,432.843  | D  |  |
| Restricted<br>Stock Unit             | (1)   | 02/15/2024               |   | М    |   |     | 2,762                         | (8)                 | (8)                                      | Common<br>Stock | 2,762                                | (1)  | 25,670.843  | D  |  |

## Explanation of Responses:

1. Each restricted stock unit (RSU) represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

2. These shares were withheld by MKS Instruments, Inc. to satisfy the tax withholding obligations triggered by the vesting of RSUs and do not represent a discretionary trade by the reporting person.

3. These RSUs were subject to performance criteria, the achievement of which was determined on January 21, 2022, and thereafter vest in three equal annual installments beginning on February 15, 2022, provided that

if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

4. These RSUs vest in three equal annual installments commencing on February 15, 2022, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

5. These RSUs were subject to performance criteria, the achievement of which was determined on February 8, 2023, and thereafter vest in three equal annual installments beginning on February 15, 2023, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

6. These RSUs vest in three equal annual installments commencing on February 15, 2023, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day. 7. These RSUs were subject to performance criteria, the achievement of which was determined on February 5, 2024, and thereafter vest in three equal annual installments beginning on February 15, 2024, provided that

if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

8. These RSUs vest in three equal annual installments commencing on February 15, 2024, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

## /s/ M. Kathryn Rickards, 02/16/2024 attorney-in-fact \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.