SEC Form 4	
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## FORM 4

obligations may continue. See

Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	1 0	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [ MKSI ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Henry David	<u>a Philip</u>		[	Director 10% Owner						
	(Last) (First) (Middle) C/O MKS INSTRUMENTS, INC. 2 TECH DRIVE, SUITE 201		3. Date of Earliest Transaction (Month/Day/Year) 02/15/2022	X Officer (give title Other (specify below) below) SVP, Corp Mkt, PMO & Glob Svc						
2 TECH DRIV	E, SUITE 201		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable						
(Street)				Line) X Form filed by One Reporting Person						
ANDOVER	MA	01810		Form filed by More than One Reporting Person						
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(insu. 4)
Common Stock	02/15/2022		М		1,171	A	(1)	3,133.237	D	
Common Stock	02/15/2022		М		1,161	A	(1)	4,294.237	D	
Common Stock	02/15/2022		М		580	A	(1)	4,874.237	D	
Common Stock	02/15/2022		<b>F</b> <sup>(2)</sup>		856	D	\$152.55	4,018.237	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	osed )) tr. 3, 4	Expiration Date (Month/Day/Year)		ate Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	02/15/2022		М			1,171	(3)	(3)	Common Stock	1,171	(1)	6,877.598	D	
Restricted Stock Unit	(1)	02/15/2022		м			1,161	(4)	(4)	Common Stock	1,161	(1)	5,716.598	D	
Restricted Stock Unit	(1)	02/15/2022		м			580	(5)	(5)	Common Stock	580	(1)	5,136.598	D	

## **Explanation of Responses:**

1. Each restricted stock unit (RSU) represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

2. This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

3. These RSUs vest in three equal annual installments commencing on February 15, 2021, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

4. These RSUs were subject to performance criteria, the achievement of which was determined on January 21, 2022, and thereafter vest in three equal annual installments beginning on February 15, 2022,

provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

5. These RSUs vest in three equal annual installments commencing on February 15, 2022, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

> /s/ M. Kathryn Rickards, attorney-in-fact

02/16/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.