SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287				
Estimated average b	urden				
hours per response:	0.5				

to Section 16.	if no longer subject Form 4 or Form 5 y continue. <i>See</i> I.	-	IT OF CHANGES IN BENEFICIAL OWNERSHIP									
1. Name and Addr Mora Elizab	ess of Reporting Pe peth	erson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [ MKSI ]	5. Relationship of f (Check all applicate X Director	ble)	10% Owner						
	(First) TRUMENTS, IP	(Middle) NC.	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2021	- Officer (gi below)	ve title	Other (specify below)						
2 TECH DRIVE, SUITE 201 (Street) ANDOVER MA 01810 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applic Line) X Form filed by One Reporting Person Form filed by More than One Reportin Person								
	Т	able I - Non-Deriva	ative Securities Acquired, Disposed of, or Bene	ficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/01/2021		S <sup>(1)</sup>		300	D	\$155.91	14,848.103	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number tion of		6. Date Exercisable and Expiration Date (Month/Day/Year)		1		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

## /s/ M. Kathryn Rickards,

12/02/2021

attorney-in-fact \*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.