| SEC Form 4 FORM 4 | UNITED S | TATES SECURITIES AND EXCHANGE Washington, D.C. 20549 | COMMISSION | |
|--|----------|---|------------------------|--|
| | | - | | OMB APPROVAL |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | STATE | Filed pursuant to Section 16(a) of the Securities Exchange Act or or Section 30(h) of the Investment Company Act of 1940 | of 1934 | OMB Number: 3235-0: Estimated average burden hours per response: |
| Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | |
| 1. Name and Address of Reporting Person Schreiner James Alan | on* | 2. Issuer Name and Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [MKSI] | (Check all applica | , |
| | | | Director Officer (c | 10% Owner give title Other (specify |
| (Last) (First) C/O MKS INSTRUMENTS, INC | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/19/2024 | below) 🖺 | below) VP & COO, MSD |

| 2 TECH DRIVE, SUITE 201 | | | | | | | | |
|-------------------------|---------|-------|--|--|--|--|--|--|
| (Street) ANDOVER | МА | 01810 | | | | | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|------------------|---|--|---------------|----------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 08/19/2024 | | М | | 3,961 | A | (1) | 20,577.703 | D | |
| Common Stock | 08/19/2024 | | F ⁽²⁾ | | 1,873 | D | \$119.09 | 18,704.703 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | (13) (11) (11) (11) (11) (11) (11) (11) | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|--|-------------------------|--|--------------------|-----------------|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) Disp of (I | oosed D) tr. 3, 4 | Expiration Date (Month/Day/Year) s | | Date Amount of | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Unit | (1) | 08/19/2024 | | М | | | 3,961 | (3) | (3) | Common Stock | 3,961 | (1) | 16,869.409 | D | |

Explanation of Responses:

1. Each restricted stock unit (RSU) represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

2. These shares were withheld by MKS Instruments, Inc. to satisfy the tax withholding obligations triggered by the vesting of RSUs and do not represent a discretionary trade by the reporting person.

3. These RSUs shall vest in two installments, 60% of which shall vest on August 17, 2024 and 40% of which shall vest on August 17, 2025; provided that if, in any vesting year, August 17th is not a business

day, such vesting shall occur on the next business day.

| /s/ Kathleen F. Burke, attorney in-fact | <u>-</u> <u>08/20/2024</u> |
|--|----------------------------|
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3235-0287

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person Form filed by More than One Reporting

Line) 1

Person

0.5

Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.