SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Abrams John R			2. Date of Ever Requiring State (Month/Day/Ye 03/01/2014	ement	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [ MKSI ]						
(Last) 2 TECH DRIV					4. Relationship of Reporting Pers (Check all applicable) Director		on(s) to Issuer 10% Owner	(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)		
					X	Officer (give title below)	Other (spec below)		ndividual or Joint/ Ilicable Line)	Group Filing (Check	
(Street)						Sr. VP, Global	Sales		X Form filed by One Reporting Person		
ANDOVER	MA	01810							Form filed by Reporting Pe	/ More than One erson	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						1.08	D				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securitie Underlying Derivative Security			4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Restricted Stoo	ck Unit		(1)	(1)		Common Stock	8,858.729	(2)	D		
Restricted Stock Unit		(3)	(3)		Common Stock	5,165.289	(2)	D			
Explanation of P											

## Explanation of Responses:

1. The RSUs vest in equal annual installments over three years from the respective original grant date. The amount above comprises of: 907.314 which was granted on October 17, 2011; 4507.889 which was granted on April 4, 2013 and 3443.526 which was granted on February 10, 2014.

2. Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

3. These RSUs are subject to the achievement of performance criteria determined in the first year of the grant and thereafter vests in equal annual installments over three years from the original grant date. The amount above was granted on February 10, 2014.

## /s/Renee M. Donlan POA

\*\* Signature of Reporting Person

03/0<u>6/2014</u>

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.