SEC Form 4

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(City)

FORM 4

UNITED STATES SECU	RI	ΓIE	S	AND	EXCHANGE	COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Form filed by One Reporting Person

Form filed by More than One Reporting

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		hours per response:	0.5
1. Name and Address of Reporting Person [*] <u>KLIMM ROBERT L</u>	2. Issuer Name and Ticker or Trading Symbol <u>MKS INSTRUMENTS INC</u> [MKSI]	(Check all applicab Director X Officer (gi	10% Owne title Other (spec	r
(Last) (First) (Middle) 90 INDUSTRIAL WAY	3. Date of Earliest Transaction (Month/Day/Year) 10/12/2006	V.P.	below) & General Manager	
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joir Line)	nt/Group Filing (Check Applica	able

x

Person

(Street) WILMINGTON MA 01887 (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Zip)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code		Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common	10/12/2006		M ⁽¹⁾		5,500	Α	\$16.88	15,500.38	D	
Common	10/12/2006		S ⁽¹⁾		5,500	D	\$21.4	10,000.38	D	
Common	10/13/2006		M ⁽¹⁾		5,500	Α	\$16.88	15,500.38	D	
Common	10/13/2006		S ⁽¹⁾		5,500	D	\$21.91	10,000.38	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	(2)	10/12/2006		M ⁽¹⁾			5,500	08/08/1988 ⁽³⁾	08/08/1988 ⁽⁴⁾	Common	5,500	\$0	98,738	D	
Stock Option (right to buy)	(2)	10/13/2006		M ⁽¹⁾			5,500	08/08/1988 ⁽³⁾	08/08/1988 ⁽⁴⁾	Common	5,500	\$0	93,238	D	

Explanation of Responses:

1. The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

2. Option conversion price is \$16.88 per share for option transactions reported on this filing.

3. Stock Option Grants include multiple vest dates.

4. Expires 10 years after date of grant

By: Joseph M.Tocci / POA

** Signature of Reporting Person

10/16/2006

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.