FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Nashington	D.C.	20549	

Washington,	D.C.	20549	

<b>STATEMENT</b>	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Schreiner James Alan					2. Issuer Name <b>and</b> Ticker or Trading Symbol MKS INSTRUMENTS INC [ MKSI ]							k all applical	tionship of Reporting Pe all applicable) Director Officer (give title		Person(s) to Issuer 10% Owner Other (specify		
	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2021  ECH DRIVE, SUITE 201					^	Sr. VP & COO										
(Street) ANDOV (City)	ER M	IA State)	01810 (Zip)		4. If Am	endment, E	Date of	Original File	ed (Monti	n/Day/Y	ear)	6. Ind Line)		ed by One	Report	Check Appl ting Person One Report	
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
Date			2. Transac Date (Month/Da	Execution Date,		Transaction Disposed Code (Instr.			rities Acquired (A) or ed Of (D) (Instr. 3, 4 and 5		5. Amount of Securities Beneficially Owned Following Reported		Form:	Direct Indirect tr. 4)	7. Nature of ndirect Beneficial Ownership (Instr. 4)		
								Code	/ Amo	unt	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)				,iii3ti. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Yea	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Se De	7. Title and Amou Securities Underl Derivative Securit (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction	re es ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)		Date Exercisable	Expirat Date		tle	Amount or Number of Shares		(Instr. 4)			
Restricted Stock Unit	(1)	01/25/2021		A		3,003.425		(2)	(2)		ommon Stock	3,003.425	(1)	7,957.	212	D	

## **Explanation of Responses:**

- 1. Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.
- 2. These RSUs were subject to performance criteria, achievement of which was determined on January 25, 2021 and thereafter vest in three equal annual installments beginning on February 15, 2021, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

/s/ M. Kathryn Rickards, 01/25/2021 attorney-in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.