SEC Form 4	
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## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

	ress of Reporting P	erson <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol MKS INSTRUMENTS INC [ MKSI ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lee John Ts	eng-Chung		[ ]	X	Director	10% Owner			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2023	X	Officer (give title below)	Other (specify below)			
C/O MKS INSTRUMENTS, INC.			12/01/2023		President & CEO				
2 TECH DRIVE, SUITE 201			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X	Form filed by One Re	porting Person			
ANDOVER	MA	01810			Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication						
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is in satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

## 2A. Deemed Execution Date, 1. Title of Security (Instr. 3) 2. Transaction Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 3. Transaction if any Code (Instr. 8) Beneficial Ownership (Month/Day/Year) 5) Reneficially (D) or Indirect (Month/Day/Year) Owned Following (I) (Instr. 4) Reported (Instr. 4) (A) or (D) Transaction(s) Code v Amount Price (Instr. 3 and 4) Common Stock 12/01/2023 М 390 Α (1) 78.528.607 D Common Stock 12/01/2023 М 1,186 Α (1) 79,714.607 D Common Stock 12/01/2023 Μ 160 Α (1) 79.874.607 D (1) Common Stock 12/01/2023 Μ 569 Α 80,443.607 D М (1) Common Stock 12/01/2023 970 Α D 81,413.607 **F**<sup>(2)</sup> Common Stock 12/01/2023 3.275 D \$84.19 D 78,138.607

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	12/01/2023		М			390	(3)	(3)	Common Stock	390	(1)	80,410.118	D	
Restricted Stock Unit	(1)	12/01/2023		М			1,186	(4)	(4)	Common Stock	1,186	(1)	79,224.118	D	
Restricted Stock Unit	(1)	12/01/2023		М			160	(3)	(3)	Common Stock	160	(1)	79,064.118	D	
Restricted Stock Unit	(1)	12/01/2023		М			569	(4)	(4)	Common Stock	569	(1)	78,495.118	D	
Restricted Stock Unit	(1)	12/01/2023		М			970	(5)	(5)	Common Stock	970	(1)	77,525.118	D	

## Explanation of Responses:

1. Each restricted stock unit (RSU) represents the contingent right to receive one share of common stock of MKS Instruments, Inc.

2. Shares used to satisfy FICA taxes.

3. Portion of 2021 RSU Award accelerated to satisfy FICA taxes.

4. Portion of 2022 RSU Award accelerated to satisfy FICA taxes.

5. Portion of 2023 RSU Award accelerated to satisfy FICA taxes.

## <u>/s/ M. Kathryn Rickards,</u> attorney-in-fact

12/05/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.